

DEPARTMENT OF PUBLIC SERVICE REGULATION
BEFORE THE PUBLIC SERVICE COMMISSION
OF THE STATE OF MONTANA

IN THE MATTER OF NorthWestern
Energy's 2012-2013 Electricity
Supply Tracker

IN THE MATTER OF NorthWestern
Energy's 2013-2014 Electricity
Supply Tracker

REGULATORY DIVISION

Docket Nos. D2013.5.33, D2014.5.46

PUBLIC VERSION

**PRE-FILED DIRECT TESTIMONY OF DAVID A. SCHLISSEL
ON BEHALF OF MONTANA ENVIRONMENTAL INFORMATION CENTER
AND SIERRA CLUB**

1 **INTRODUCTION**

2 **Q. Please state your name and business address.**

3 A. My name is David A. Schlissel. I am the President of Schlissel Technical
4 Consulting, Inc., 45 Horace Road, Belmont, MA 02478.

5 **Q. On whose behalf are you testifying?**

6 A. I am testifying on behalf of the Montana Environmental Information Center and
7 Sierra Club (collectively, "MEIC").

8 **Q. Please summarize your educational background and recent work experience.**

9 A. I graduated from the Massachusetts Institute of Technology in 1968 with a
10 Bachelor of Science Degree in Engineering. In 1969, I received a Master of
11 Science Degree in Engineering from Stanford University. In 1973, I received a
12 Law Degree from Stanford University. In addition, I studied nuclear engineering
13 at the Massachusetts Institute of Technology during the years 1983-1986.

14 Since 1983 I have been retained by governmental bodies, publicly-owned
15 utilities, and private organizations in 38 states to prepare expert testimony and
16 analyses on engineering and economic issues related to electric utilities. My
17 recent clients have included the U.S. Department of Justice, the Attorney General
18 and the Governor of the State of New York, state consumer advocates, and
19 national and local environmental and consumer organizations.

20 I have filed expert testimony before state regulatory commissions in
21 Arkansas, Arizona, California, Colorado, Connecticut, Florida, Georgia, Illinois,
22 Indiana, Iowa, Kansas, Louisiana, Maine, Maryland, Massachusetts, Michigan,

1 Minnesota, Mississippi, Missouri, New Jersey, New Mexico, New York, North
2 Carolina, North Dakota, Ohio, Oregon, Rhode Island, South Carolina, South
3 Dakota, Texas, Vermont, Virginia, West Virginia, and Wisconsin and before an
4 Atomic Safety & Licensing Board of the U.S. Nuclear Regulatory Commission.

5 A copy of my current resume is included as Exhibit DAS-1. Additional
6 information about my work is available at www.schlissel-technical.com.

7 **Q. What is the purpose of your testimony in this proceeding?**

8 A. I have been requested to review NorthWestern's Electric Tracker Application in
9 these dockets, including the circumstances surrounding the extended outage of
10 Colstrip Unit 4 from July 1, 2013 until January 23, 2014.

11 **Q. What materials have you reviewed in your preparation of this testimony?**

12 A. I have reviewed NorthWestern Energy's Electric Tracker Application and
13 supporting testimony, the Company's responses to discovery requests submitted
14 by MEIC, the Montana Consumer Counsel and the PSC Staff, decisions of the
15 Montana Public Service Commission, and relevant testimony and regulatory
16 decisions in other dockets in Montana and other states.

17 **SUMMARY OF CONCLUSIONS AND RECOMMENDATIONS**

18 **Q. Please summarize your principal conclusions and findings.**

19 A. My principal conclusions are as follows:

20 1. The Root Cause Analysis of the Colstrip Unit 4 extended outage
21 concluded that the cause of the generator failure on July 1st that led to the

- 1 outage was damage to the generator during its reassembly at the
2 conclusion of an overhaul performed in May and June of 2013.
- 3 2. Siemens was the original equipment manufacturer for the Colstrip Unit 4
4 generator and performed the generator overhaul completed in May and
5 June of 2013.
- 6 3. The Root Cause Analysis prepared for the Colstrip Unit 4 owners did not
7 find any imprudence or negligence by PPL Montana, the operator of the
8 Unit. Instead, it concluded that PPL did everything according to standard
9 industry practice.
- 10 4. The Root Cause Analysis appears to have focused on whether PPL
11 Montana could have prevented this generator failure that led to the extend
12 Unit 4 outage. It did not address the issue of whether the outage was the
13 result of any imprudent or negligent actions by Siemens during the May-
14 June 2013 generator overhaul.
- 15 5. NorthWestern stated in its discovery responses that it accepts the view
16 expressed in the Root Cause Analysis that work performed by Siemens
17 was the most likely cause of the Unit 4 outage. However, the Company
18 has said that it has not decided whether to pursue litigation against
19 Siemens for any outage-related costs. Its only explanation for this
20 indecision is that the statute of limitations for contract claims is eight years
21 and three years for tort claims.

- 1 6. The Company has said that to its knowledge, no other Colstrip Unit 4
2 owners have attempted to recover any outage-related costs from Siemens.
3 However, it is unclear whether the property insurer, which paid repair
4 costs resulting from the generator failure, has sought to recover any costs
5 from Siemens.
- 6 7. NorthWestern Energy has not acted prudently in seeking to recover
7 outage-related replacement power costs from its ratepayers without first
8 seeking recovery of those costs from Siemens or, at a minimum,
9 concluding an evaluation of whether an action against Siemens is
10 warranted. If approved, the Company's application may force ratepayers
11 to bear replacement power costs before NorthWestern has conducted a
12 thorough assessment of whether those costs should be paid by Siemens.
- 13 8. NorthWestern Energy failed to evaluate, prior to the start of the Colstrip
14 Unit 4 2013-2014 outage, the availability, cost, and potential benefit of
15 obtaining business interruption insurance (that is, outage insurance) to
16 protect its ratepayers against the risk of having to pay expensive
17 replacement power costs during an extended Unit 4 outage.
- 18 9. There are several reasons why NorthWestern Energy should have
19 evaluated business interruption insurance prior to the start of the 2013-
20 2014 Unit 4 outage. First, Unit 4 had already experienced a number of
21 serious equipment problems in the plant's generator. In addition, the
22 discovery of cracks in the blades of the turbine section of Unit 4's turbine-

1 generator kept the Unit out of service for more than five months in 2009.
2 In light of this history of past problems with Unit 4, NorthWestern Energy
3 should at least have evaluated whether business interruption insurance
4 may have been a prudent investment.

5 10. The Company's ratepayers are required to pay more than \$3 million in
6 fixed costs for Unit 4 for each month that the plant is out of service. Plus,
7 without any business interruption insurance, ratepayers would be asked to
8 pay all of the replacement power costs incurred while the unit was not able
9 to generate power unless the Company were to recover some of these costs
10 from a third party. Because the fixed costs of Colstrip Unit 4 are
11 unavoidable, ratepayers deserve any economically justified protection
12 against avoidable replacement power costs.

13 11. Only NorthWestern Energy was in a position to weigh the costs and
14 benefits of obtaining business interruption insurance in light of Unit 4's
15 operational history, the current condition of the equipment, and operation
16 and maintenance practices of the unit's operator. Given these
17 circumstances, NorthWestern Energy's failure to even consider obtaining
18 business interruption insurance should lead the Commission to deny
19 recovery of any replacement power costs that could have been covered by
20 business interruption insurance.

21 12. NorthWestern Energy's Electric Tracker Application did not reflect the
22 true amount of variable costs associated with the Colstrip Unit 4 outage

1 because it did not account for reductions in operating and maintenance
2 (O&M) expenses resulting from the Unit's 2013-2014 outage.

3 13. For example, the Company's Application failed to reflect the cost savings
4 from the furloughing of 36 Colstrip workers for approximately three
5 months during the outage. The Company may be unjustly enriched if the
6 Commission fails to reduce its cost recovery to reflect these and any other
7 O&M cost savings resulting from the outage.

8 **COLSTRIP UNIT 4 EXTENDED OUTAGE REPLACEMENT POWER**
9 **COSTS**

10 **Q. Did NorthWestern Energy discuss the root or underlying cause of the 2013-**
11 **2014 extended outage at Colstrip Unit 4 in either its Electric Tracker**
12 **Application or the supporting testimony?**

13 A. No.¹

14 **Q. Did the Company otherwise attempt to prove that the outage was not the**
15 **result of negligence or imprudence?**

16 A. No. The Company gave no real explanation of the cause of the outage in either its
17 Application or supporting testimony.²

¹ See Prefiled Direct Testimony of Kevin J. Markovich at page KJM-9.

² See *id.* at pages KJM-9 to KJM-11.

1 **Q. Did the Company attempt in its Tracker Application or supporting**
2 **testimony to quantify the cost of the replacement power incurred during the**
3 **outage?**

4 A. No. Instead, the Company attempted to justify not calculating the cost of
5 replacement power.³

6 **Q. Please briefly describe the circumstances that led to the extended Colstrip**
7 **Unit 4 outage that began on July 1, 2013.**

8 A. Unit 4 was off-line during May and most of June for scheduled maintenance,
9 including a planned generator overhaul. The Unit returned to service on June 27,
10 2013 but tripped four days later, on July 1st, due to a ground fault in the stator and
11 rotor assembly in the generator.⁴

12 **Q. Who commissioned the Root Cause Analysis?**

13 A. PPL Montana, Colstrip's operator, commissioned the Root Cause Analysis on
14 behalf of the Unit 3 and 4 joint owners, all of whom paid for the analysis.⁵

³ Prefiled Direct Testimony of Kevin J. Markovich, at page KJM-10.

⁴ *See id.* at page 9; NorthWestern Energy's Response to Data Request MCC-18, Attachment 1 at 42 (public version); NorthWestern Energy's Response to Data Request MEIC-44.a (outage reports).

⁵ NorthWestern Energy's Response to Data Request MEIC-49.

1 **Q. What was the root cause of the generator event that caused this extended**
2 **outage?**

3 A. Although the Root Cause Analysis did not find a “smoking gun” that clearly
4 indicated the cause of failure, it did identify what it believed was the “most
5 likely” failure scenario:

6 The cause of the failure was most likely inadequate interlaminar
7 insulation permitting shorting between laminations caused during
8 the prior outage [i.e., the generator overhaul outage of May and
9 June 2013] by rotor insertion, skid pan damage, or air gap baffle
10 installation. [REDACTED]

11 [REDACTED]
12 [REDACTED]
13 [REDACTED]
14 **Q. Does NorthWestern Energy agree that the cause of the extended Unit 4**
15 **outage was work performed during the May-June 2013 generator overhaul?**

16 A. Yes. NorthWestern Energy has stated that it believes that the cause of the
17 extended Unit 4 outage was most likely a result of equipment being damaged
18 during work completed on the generator during the May-June overhaul.⁷

19 **Q. What are the “laminations” to which reference is made in the Root Cause**
20 **Analysis in your previous answer?**

21 A. The core of the generator’s stator is made up of thousands of metal sheets or
22 laminations. Each of the laminations is insulated from the next.

⁶ *Root Cause Analysis Report on PPL Montana Colstrip 4 Core Failure Event, Generator Consulting Services, November 18, 2013, at page 1. Provided in response to Data Request MEIC-9.*

⁷ NorthWestern Energy’s Response to Data Request MEIC-45(a), 60(b).

1 **Q. Which firm performed the May-June 2013 overhaul of the Unit 4 generator?**

2 A. Siemens, the original equipment manufacturer of the Unit 4 generator, performed
3 the May-June 2013 overhaul.⁸

4 **Q. Had Colstrip Unit 4 experienced a similar generator stator ground fault at**
5 **any time prior to July 1, 2013?**

6 A. [REDACTED]
7 [REDACTED]
8 [REDACTED]
9 [REDACTED]
10 [REDACTED]

11 **Q. How was the interlaminar insulation damaged?**

12 A. According to the Root Cause Analysis, the most likely explanation is that damage
13 occurred when the generator was being reassembled during the May-June
14 overhaul.¹⁰ For example, the Root Cause Analysis noted the following:

15 [REDACTED]
16 [REDACTED]
17 [REDACTED]
18 [REDACTED]
19 [REDACTED]
20 [REDACTED]

21 And:

⁸ NorthWestern Energy's Response to Data Request MEIC-64(a), (b). Westinghouse manufactured the Unit 4 generator. Siemens acquired Westinghouse's electric power division in 1997.

⁹ *Root Cause Analysis* at 29.

¹⁰ *Id.* at 1, 29, 31, 38 and 41.

¹¹ *Id.* at 1.

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[REDACTED]

And:

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[REDACTED]

15 **Q. What is the El Cid testing referenced in the above quotes from the Root**
16 **Cause Analysis?**

17 A. The industry standard Electromagnetic Core Imperfection Detection (El Cid)
18 testing was performed prior to the reassembly of the Unit 4 generator during the
19 May-June 2013 overhaul. Such testing would have been able to detect any
20 damage to the laminations existing at the time the testing was performed.

21 **Q. Was any damage to the laminations in the Unit 4 stator discovered during**
22 **the El Cid testing?**

23 A. Yes. It appears that damage to the laminations was found and repaired prior to
24 the reassembly of the generator. This conclusion is based on comments in the very
25 heavily redacted Daily Outage Reports that NorthWestern provided in discovery.
26 The Colstrip SES Unit 4 Daily Outage Report for May 28, 2013, noted:

¹² *Id.* at 31.

¹³ *Id.* at 29.

1 ELCID test after wedge installation has identified 5 locations of
2 damage. The repairs of these areas are being evaluated.
3 Additionally new test equipment is being delivered to the site on
4 Wednesday.
5

6 Generator lamination problems have been identified after a second
7 ELCID test was completed yesterday. The test was performed after
8 the new Wedges were replaced this week. Repairs are being made
9 at this time; Additional tests will be conducted on Wednesday.¹⁴
10

11 The May 30 and May 31, 2013 Daily Outage Reports state that the new El Cid
12 test had been completed, that the repairs to the laminations were successful and
13 that the reassembly of the generator was in progress.¹⁵

14 This discovery and repair of the stator laminations prior to reassembly of
15 the generator supports the finding in the Root Cause Analysis that the damage that
16 caused the July 1, 2013 event and the extended Unit 4 outage occurred during the
17 reassembly.

18 **Q. Had there been a similar outage at any other power plant with a comparable**
19 **generator?**

20 A. According to the Root Cause Analysis, the answer is [REDACTED].

21 [REDACTED]
22 [REDACTED]
23 [REDACTED]
24 [REDACTED]
25 [REDACTED]

¹⁴ NorthWestern Energy's Response to Data Request MEIC-44, Attachment "COL Unit 4 Outage Report 20130528_Redacted" at 2.

¹⁵ NorthWestern Energy's Response to Data Request MEIC-44, Attachment "COL Unit 4 Outage Report 20130530_Redacted" at 2; Attachment "COL Unit 4 Outage Report 20130531_Redacted" at 2.

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4 **Q. Has NorthWestern Energy provided any information on other instances**
5 **where the interlaminar insulation in other generators provided by Siemens**
6 **has been found to be inadequate?**

7 A. The company has indicated that it is not aware of instances where interlaminar
8 insulation provided in a Siemens generator was found to be inadequate as
9 originally constructed. This further supports a finding that the ground fault that
10 led to the Unit 4 extended outage was caused by work performed during the May-
11 June generator overhaul.

12 **Q. Did the Root Cause Analysis identify any imprudence or negligence by PPL**
13 **Montana, as the operator of the unit?**

14 A. No. The Root Cause Analysis concluded that “In our opinion, PPL did everything
15 according to standard industry practice such as hiring the OEM (Siemens) to
16 perform the maintenance, performing El Cid testing on the core, operating their
17 unit according to industry practice, (since there was no indication of mis-
18 operation), and protecting the unit with adequate relay protection. Nothing they
19 did or could have done, could have prevented this failure.”¹⁷

¹⁶ *Root Cause Analysis* at 22.

¹⁷ *Id.* at 42.

1 **Q. Did the Root Cause Analysis make any similar finding with regard to the**
2 **work performed by Siemens during the May-June 2013 generator overhaul?**

3 A. No. The Root Cause Analysis appears to have focused on whether PPL Montana,
4 as operator of Unit 4, could have done anything to prevent the outage. It did not
5 address the issue of whether the extended Unit 4 outage was the result of any
6 imprudent or negligent actions by Siemens during the generator overhaul.

7 **Q. Has NorthWestern Energy provided any assessment of whether the extended**
8 **Unit 4 outage was the result of any imprudent or negligent actions by**
9 **Siemens during the May-June generator overhaul?**

10 A. No. In response to Data Request MEIC-064, NorthWestern Energy indicated that
11 it has not evaluated or caused to be evaluated the work performed by Siemens
12 during the May-June generator overhaul.¹⁸

13 NorthWestern Energy stated that Siemens was responsible for a rotor-out
14 inspection of the generator, including interlaminar insulation. “If the final
15 tests/inspections of the core resulted in the detection of an insulation problem,
16 repairs would have been made by Siemens and then the core would have been
17 retested/repared until tests were successful.”¹⁹ However, NorthWestern Energy is
18 not aware of the procedures related to inspection of generator interlaminar

¹⁸ NorthWestern Energy’s Response to Data Request MEIC-64(c).

¹⁹ NorthWestern Energy’s Response to Data Request MEIC-64(b).

1 insulation, thus it could not have independently evaluated whether such
2 procedures were adequate.²⁰

3 **Q. Have you seen any other assessments of the root cause of the extended Unit 4**
4 **outage?**

5 A. No. NorthWestern stated that the Root Cause Analysis was the only investigation
6 of the cause of the outage that they were aware of.²¹

7 However, I have seen evidence that suggests that there may have been
8 other root cause assessments conducted by Portland General Electric or Factory
9 Mutual Insurance Company, the property insurer for Colstrip Unit 4.

10 **Q. Please explain.**

11 A. [REDACTED]
12 [REDACTED]
13 [REDACTED]
14 [REDACTED]
15 [REDACTED]

16 In addition, a July 19, 2013 letter from the Factory Mutual Insurance
17 Company to PPL Services Corporation noted the following:

²⁰ NorthWestern Energy's Response to Data Request MEIC-63.

²¹ NorthWestern Energy's Response to Data Request MEIC-9.

²² NorthWestern Energy's Response to Data Request MEIC-35, Attachment 1 at 13.

1 We also understand that prior to the referenced electrical
2 breakdown, in late June 2013, the Unit No. 4 Turbine Generator
3 was off-line for a scheduled inspection/maintenance outage
4 conducted by Siemens. Included in the inspection scope was a
5 pulled-rotor inspection of the generator. After this outage the unit
6 was returned to service on June 27, 2013, but was shut down on
7 June 29, 2013 to adjust balance in the exciter. Following this re-
8 balance, Unit No. 4 was returned to service on June 30, 2013. The
9 ground fault occurred the evening of July 1, 2013. As discussed
10 during our July 18, 2013, telephone conference, due to this
11 sequence of events, we will be engaging outside counsel, who will
12 in turn be hiring a cause & origin investigator to assist us with our
13 subrogation investigation.²³
14

15 **Q. Has NorthWestern Energy provided any subsequent correspondence from**
16 **the property insurer indicating whether the insurer has sought, or is still**
17 **considering whether to seek, recovery of any outage related repair costs from**
18 **Siemens?**

19 A. No.

20 **Q. Has NorthWestern Energy decided whether it will seek to recover any outage**
21 **related costs from Siemens?**

22 A. No. NorthWestern Energy has not decided whether to pursue litigation against
23 Siemens for any outage-related costs. The Company's only explanation for its
24 indecision is that the statute of limitations for contract claims is eight years and
25 three years for tort claims.²⁴

²³ NorthWestern Energy's Response to Data Request MCC-17, Attachment 2 (Public Version) at 2.

²⁴ NorthWestern Energy's Response to Data Request MCC-118.

1 **Q. Has NorthWestern Energy indicated that any other Colstrip Unit 4 owners**
2 **have sought to recover any outage related costs from Siemens?**

3 A. No. The Company has said that to its knowledge, no other Colstrip Unit 4 owners
4 have attempted to recover any outage related costs from Siemens or any other
5 third party.²⁵

6 **Q. Should NorthWestern Energy be allowed to recover the replacement power**
7 **costs incurred during the Unit 4 outage from ratepayers?**

8 A. No. NorthWestern Energy stated in its discovery responses that it accepts the
9 view expressed in the RCA that work performed by Siemens was the most likely
10 cause of the Unit 4 outage.²⁶ NorthWestern Energy should not be seeking to
11 recover outage-related replacement power costs from its ratepayers without first
12 seeking recovery of those costs from Siemens or, at a minimum, concluding an
13 evaluation of whether an action against Siemens is warranted. If approved, the
14 Company's application to recover these costs may force ratepayers to bear costs
15 before NorthWestern has conducted a thorough assessment of whether Siemens is
16 liable for the replacement power costs incurred during the Colstrip 4 outage.

²⁵ NorthWestern Energy's Response to Data Requests MEIC-40, 67(a).

²⁶ NorthWestern Energy's Response to Data Requests MEIC-45(a), (d), 60(b).

1 **BUSINESS INTERRUPTION INSURANCE**

2 **Q. What is business interruption insurance?**

3 A. Business interruption or outage insurance would have provided protection for
4 NorthWestern Energy's customers from the risk of having to pay all of the
5 replacement power costs for an extended Unit 4 outage.

6 **Q. Prior to the extended Colstrip Unit 4 outage had NorthWestern Energy**
7 **evaluated whether to have business interruption insurance?**

8 A. No.

9 **Q. Prior to June 2013, should NorthWestern have at least evaluated whether to**
10 **have business interruption insurance?**

11 A. Yes.

12 **Q. Why should NorthWestern Energy have evaluated business interruption**
13 **insurance prior to the extended Unit 4 2013-2014 outage?**

14 A. There are several reasons why NorthWestern Energy should have evaluated the
15 availability, cost and potential benefit of obtaining business interruption insurance
16 to protect its ratepayers against the risk of having to pay expensive replacement
17 power costs during an extended Colstrip Unit 4 outage.

1 First, since the time Unit 4 was included in the rate base, it also
2 experienced a forced outage in 2009 that kept the Unit out of service for more
3 than five months and had an approximate cost of [REDACTED].²⁷

4 **Q. What was the root cause of the extended Unit 4 forced outage in 2009?**

5 A. According to testimony by NorthWestern Energy, the 2009 extended Unit 4
6 forced outage was caused by cracks discovered during the overhaul of the Unit's
7 steam turbine-generator.²⁸

8 **Q. Was this turbine-generator the same piece of equipment that failed on July 1,
9 2013, leading to the extended 2013-2014 Unit 4 outage?**

10 A. Yes. The generator that caused the 2013-2014 extended outage of Unit 4 was part
11 of this turbine-generator.

12 **Q. Had the Unit 4 generator experienced significant problems prior to 2009?**

13 Yes. The Unit 4 generator experienced significant problems prior to 2013 in
14 addition to the 2009 problems in its turbine. In 1987, the generator suffered what
15 has been described by the Company as a "massive electrical fault."²⁹ In March
16 1993, the generator experienced a stator ground fault.³⁰ Then, in 2000, the
17 generator rotor was found fractured and was removed. The fracture was described

²⁷ NorthWestern Energy's Confidential Response to Data Request MEIC-26, Attachment at 8.

²⁸ Prefiled Direct Testimony of Kevin J. Markovich on Behalf of NorthWestern Energy in Docket No. D2009.5.62, at page KJM-5, lines 16 to 21.

²⁹ NorthWestern Energy's Response to Data Request MCC-15, Attachment 4 at 38 (public version).

³⁰ *Id.*

1 as “an assembly error.”³¹ A rotor that had been removed in 1996 was reinstalled.
2 Finally, in 2001, the Unit 4 generator was soaked after a cooling water leak.³²
3 According to NorthWestern Energy, the generator rotor was examined for
4 corrosion or cracks and requalified by the manufacturer.

5 In light of the history of past problems with Unit 4, NorthWestern should
6 at least have evaluated whether business interruption insurance may have been a
7 prudent investment.

8 **Q. Is there another reason why NorthWestern Energy should have evaluated**
9 **obtaining business interruption insurance prior to the 2013-2014 extended**
10 **Unit 4 outage?**

11 A. Yes. The Company stated that it is charged \$6,062,128.67 each month for the
12 fixed costs of Colstrip Unit 3 and 4.³³ The Company and its ratepayers have to
13 pay these costs during any extended outage of either Unit 3 or Unit 4 even though
14 the unit is not producing any power during the outage. For example, the fixed

³¹ *Id.*

³² *Id.*

³³ NorthWestern Energy’s Response to Data Request MEIC-025, Attachment at 1. This figure represents the fixed costs for both Colstrip Units 3 and 4 because NorthWestern Energy stated that it “does not maintain separate records for Units 3 and 4 with respect to costs.” NorthWestern Energy’s Response to Data Request MEIC-025. It is our understanding that the fixed costs provided by NorthWestern represent NorthWestern’s share of the units’ fixed costs, rather than the total fixed costs for the units..

1 cost, alone, for ratepayers of a six month-long outage of one of the units would be
2 over \$18 million (approximately \$3.03 million per month).³⁴

3 In addition to fixed costs, without any business interruption insurance,
4 ratepayers would be asked to pay all of the replacement power costs incurred
5 while the unit was not able to generate power unless the Company were to recover
6 some of these costs from a third party. Because the fixed costs of Colstrip Unit 4
7 are unavoidable, ratepayers deserve any economically justified protection against
8 avoidable replacement power costs.

9 In conclusion, only NorthWestern Energy was in a position to weigh the
10 costs and benefits of obtaining business interruption insurance in light of Unit 4's
11 operational history, the current condition of the equipment, and operation and
12 maintenance practices of the unit's operator. Given these circumstances,
13 NorthWestern Energy's failure to even consider obtaining business interruption
14 insurance was imprudent.

³⁴ NorthWestern Energy pays 15 percent of the fixed costs for both Units 3 and 4 under its Reciprocal Sharing Agreement with PPL Montana. *See* NorthWestern Energy's Response to Data Request MCC-24, Attachment 1, at 2. The \$3.03 million assumed for the monthly fixed cost of Unit 4 is just one-half of the total \$6.062 million in fixed costs that the Company pays each month for both Units.

1 **Q. Because of NorthWestern Energy's failure to evaluate business interruption**
2 **insurance, should the Commission deny NorthWestern Energy's request for**
3 **recovery of replacement power costs from the Unit 4 2013-2014 outage?**

4 A. Yes. The Commission should deny recovery of any replacement power costs that
5 would have been covered by business interruption insurance.

6 **O&M REDUCTIONS DUE TO EXTENDED UNIT 4 OUTAGE**

7 **Q. Does NorthWestern Energy's Energy Tracker Application reflect the true**
8 **amount of variable costs associated with the Colstrip Unit 4 outage.**

9 A. No.

10 **Q. Please explain.**

11 A. NorthWestern Energy seeks to recover replacement power costs without
12 accounting for reductions in operating and maintenance (O&M) expenses
13 resulting from the Colstrip Unit 4 outage. Thus, NorthWestern Energy's estimate
14 of the costs of the outage do not reflect actual net costs.

15 **Q. Did NorthWestern Energy experience any reductions in its O&M expenses as**
16 **a result of the extended Colstrip Unit 4 outage?**

17 A. Yes. Thirty six (36) Colstrip employees were furloughed for approximately three
18 months in the fall of 2013 as a result of the Unit 4 outage.

1 **Q. Has NorthWestern Energy reflected the cost savings due to these furloughs**
2 **in its filing in this docket?**

3 A. No. Northwestern Energy has not reflected these reduced personnel costs in its
4 filing.³⁵ According to the Company, labor costs are not included in the tracker
5 docket. The Company also stated that “[t]he fixed revenue requirement for CU4
6 costs is based on the test year used in Docket No. D2008.6.69 and will not change
7 until the next electric general rate case filing.”³⁶

8 **Q. Are you concerned that this might mean that the cost reductions are not**
9 **passed through to ratepayers?**

10 A. Yes. I’m concerned that the lower O&M costs due to the worker furloughs might
11 not be flowed through to ratepayers depending on which test year the Company
12 uses when it files its next electric general rate case filing. Ratepayers may be
13 forced to pay the salaries and benefits for furloughed workers that were not actual
14 costs to NorthWestern Energy during the period of the outage. To ensure that
15 ratepayers pay for the actual, net cost of the outage, NorthWestern Energy’s
16 recovery in this tracker docket, if any, should be reduced to reflect savings due to
17 worker furloughs.

³⁵ NorthWestern Energy’s Response to Data Request PSC-008.

³⁶ *Id.*

1 **Q. Were there any other O&M expense reductions as a result of the extended**
2 **Unit 4 outage?**

3 A. There may have been. NorthWestern Energy has not attempted to account for
4 reductions in O&M costs during the Colstrip Unit 4 outage. However, on July 25,
5 2013, PPL e-mailed all of the Unit 4 owners an “updated cost summary” for the
6 capital expenditures associated with the outage and said “[w]e are also working of
7 [sic] providing the estimated O&M reductions for 2013 as a result of the outage.”
8 Like the cost savings due to furloughed workers, NorthWestern Energy’s
9 recovery for the cost of the Unit 4 outage in this tracker docket, if any, should be
10 net of these O&M reductions.

11 **Q. Does this complete your testimony?**

12 A. **Yes.**

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EXHIBIT DAS-1

David A. Schlissel

President

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SUMMARY

I have worked since 1974 as a consultant and attorney on complex management, engineering, and economic issues, primarily in the field of energy. This work has involved conducting technical investigations, preparing economic analyses, presenting expert testimony, providing support during all phases of regulatory proceedings and litigation, and advising clients during settlement negotiations. I received undergraduate and advanced engineering degrees from the Massachusetts Institute of Technology and Stanford University, respectively, and a law degree from Stanford Law School.

PROFESSIONAL EXPERIENCE

Electric Resource Planning - Analyzed the financial and economic costs and benefits of energy supply options. Examined whether there are lower cost, lower risk alternatives than proposed fossil and nuclear power plants. Evaluated the financial, economic and system reliability consequences of retiring existing electric generating facilities. Investigated whether new electric generating facilities are used and useful. Investigated whether new generating facilities that were built for a deregulated subsidiary should be included in the rate base of a regulated utility. Assessed the reasonableness of proposed utility power purchase agreements with deregulated affiliates. Investigated the prudence of utility power purchases in deregulated markets.

Coal-fired Generation – Evaluated the economic and financial risks of investing in, constructing and operating new coal-fired power plants. Analyzed the economic and financial risks of making expensive environmental and other upgrades to existing plants. Investigated whether plant owners had adequately considered the risks associated with building new fossil-fired power plants, the most significant of which are the likelihood of federal regulation of greenhouse gas emissions and construction cost increases.

Power Plant Air Emissions – Investigated whether proposed generating facilities would provide environmental benefits in terms of reduced emissions of NO_x, SO₂ and CO₂. Examined whether new state and federal emission standards would lead to the retirement of existing power plants or otherwise have an adverse impact on electric system reliability.

Power Plant Water Use – Examined power plant repowering as a strategy for reducing water consumption at existing electric generating facilities. Analyzed the impact of converting power plants from once-through to closed-loop systems with cooling towers on plant revenues and electric system reliability. Evaluated the potential impact of the EPA's Proposed Clean Water Act Section 316(b) Rule for Cooling Water Intake Structures at existing power plants.

Electric System Reliability - Evaluated whether existing or new generation facilities and transmission lines are needed to ensure adequate levels of system reliability. Investigated the causes of distribution system outages and inadequate service reliability. Examined the reasonableness of utility system reliability expenditures.

Power Plant Repowering - Evaluated the environmental, economic and reliability impacts of rebuilding older, inefficient generating facilities with new combined cycle technology.

Power Plant Operations and Economics - Investigated the causes of more than one hundred power plant and system outages, equipment failures, and component degradation, determined whether these problems could have been anticipated and avoided, and assessed liability for repair and replacement costs. Examined power plant operating, maintenance, and capital costs. Evaluated utility plans for and management of the replacement of major power plant components. Assessed the adequacy of power plant quality assurance and maintenance programs. Examined the selection and supervision of contractors and subcontractors.

Nuclear Power – Reviewed recent cost estimates for proposed nuclear power plants. Examined the impact of the nuclear power plant life extensions and power uprates on decommissioning costs and collections policies. Examined the reasonableness of utility decisions to sell nuclear power assets and evaluated the value received as a result of the auctioning of those plants. Investigated the significance of the increasing ownership of nuclear power plants by multiple tiered holding companies with limited liability company subsidiaries. Investigated the potential safety consequences of nuclear power plant structure, system, and component failures.

Transmission Line Siting – Examined the need for proposed transmission lines. Analyzed whether proposed transmission lines could be installed underground. Worked with clients to develop alternate routings for proposed lines that would have reduced impacts on the environment and communities.

Electric Industry Regulation and Markets - Examined whether generating facilities experienced more outages following the transition to a deregulated wholesale market in New England. Evaluated the reasonableness of nuclear and fossil plant sales, auctions, and power purchase agreements. Analyzed the impact of proposed utility mergers on market power. Assessed the reasonableness of contract provisions and terms in proposed power supply agreements.

Expert Testimony - Presented the results of management, technical and economic analyses as testimony in more than 100 proceedings before regulatory boards and commissions in 35 states, before two federal regulatory agencies, and in state and federal court proceedings.

Litigation and Regulatory Support - Participated in all aspects of the development and preparation of case presentations on complex management, technical, and economic issues. Assisted in the preparation and conduct of pre-trial discovery and depositions. Helped identify and prepare expert witnesses. Aided the preparation of pre-hearing petitions and motions and post-hearing briefs and appeals. Assisted counsel in preparing for hearings and oral arguments. Advised counsel during settlement negotiations.

TESTIMONY, AFFIDAVITS, DEPOSITIONS AND COMMENTS

Indiana Utility Regulatory Commission (Cause Nos. 43114 IGCC 8, 10, and 12) – June 2012, April 2013 and April 2014

Whether Duke Energy Indiana's Edwardsport IGCC Project was in service between June 7, 2013 and March 31, 2014 and the Project's current operational status and future performance and cost prospects.

Public Service Commission of West Virginia (Case No. 14-0546-E-PC) – August 2014

The reasonableness of American Electric Power's proposed transfer of 50 percent of the Mitchell Coal Plant to its regulated affiliates in West Virginia.

Mississippi Public Service Commission (Docket No. 2013-UN-189) – March and June 2014

The prudence of Mississippi Power Company's management of the planning for the Kemper County IGCC Plant.

Indiana Utility Regulatory Commission (Cause Nos. 43114 IGCC 8, 10, and 12) – June 2012, April 2013 and April 2014

Startup and pre-operational testing delays at Duke Energy Indiana's Edwardsport IGCC Project.

Public Service Commission of West Virginia (Case No. 12-1655-E-PC) – June 2013

The reasonableness of Appalachian Power Company's proposed acquisition of 2/3 of Unit 3 of the John E. Amos power plant and 1/2 of the two unit Mitchell power plant.

Public Service Commission of West Virginia (Case No. 12-1571-E-PC) – April 2013

The reasonableness of Monogahela Power Company's proposed acquisition of 80 percent of the Harrison Power Station.

Virginia State Corporation Commission (Case No. PUE-2012-00128) – March 2013

Whether Dominion Virginia Power's proposed Brunswick Project natural gas-fired combined cycle power plant is needed and in the public interest.

Mississippi Public Service Commission (Docket No. 2009-UA-014) – March 2012

Petition to Reopen the docket for the Kemper County IGCC Plant based on changed circumstances.

Mississippi Public Service Commission (Docket No. 2009-UA-014) – February 2012

The financial and economic risks of retrofitting Mississippi Power Company's Plant Daniel Coal Plant.

Georgia Public Service Commission (Docket No. 34218) – November 2011

The reasonableness of Georgia Power Company's proposed fossil plant decertification/retirement plan.

Maryland Public Service Commission (Case No. 9271) – October 2011

The reasonableness of Constellation Energy Group's proposed divestiture of three coal-fired power plants as mitigation for market power concerns arising from its proposed merger with Exelon Corporation.

Minnesota Public Utilities Commission (Docket No. E017/M-10-1082) – August and September 2011

Whether the proposed addition of the Big Stone Plant Air Quality Control System is a lower cost alternative for the ratepayers of Otter Tail Power Company than retirement of the Plant and replacement by a natural gas-fired combined cycle unit possibly combined with new wind capacity.

Indiana Utility Regulatory Commission (Cause No. 43114 IGCC 4S1) – June, July, and October 2011 and June 2012

Duke Energy Indiana's imprudence and gross mismanagement of Edwardsport IGCC Project.

Kansas State Corporation Commission (Docket No. 11-KCPE-581-PRE) – June 2011

The reasonableness of the proposed environmental upgrades at the La Cygne Generating Station Units 1 and 2.

Arizona Corporation Commission (Docket No. E-01345A-10-0474) – May 2011

The reasonableness of Arizona Public Service Company's proposed acquisition of Southern California Edison's share of Four Corners Units 4 and 5.

Public Utility Commission of Colorado (Docket No. 10M-245E) – September, October and November 2010

The reasonableness of Public Service of Colorado's proposed Emissions Reduction Plan.

Indiana Utility Regulatory Commission (Cause No. 43114 IGCC 4S1) – July, November and December 2010

The reasonableness of Duke Energy Indiana's new analyses of the economics of completing the Edwardsport Project as an IGCC plant.

Oregon Public Utility Commission (Docket LC 48) – May and August 2010

Comments and Reply Comments on Portland General Electric Company's 2009 Integrated Resource Plan.

South Dakota Public Service Commission (Docket No. EL-09-018) – April 2010

The reasonableness of Black Hills Power Company's 2007 Integrated Resource Plan and the Company's decision to build the Wygen III coal-fired power plant.

Michigan Public Service Commission (Docket No. U-16077) – April 2010

Comments on the City of Holland Board of Public Works' 2010 Power Supply Study.

Illinois Commerce Commission (Tenaska Clean Coal Facility Analysis) – April 2010

Comments on the Facility Cost Report for the proposed Taylorville IGCC power plant.

North Carolina Utilities Commission (Docket No. E-100, Sub 124) – February 2010
The reasonableness of the 2009 Integrated Resource Plans of Duke Energy Carolinas and Progress Energy Carolinas.

Mississippi Public Service Commission (Docket No. 2009-UA-014) – December 2009
The costs and risks associated with the proposed Kemper County IGCC power plant.

Public Service Commission of Wisconsin (Docket No. 05-CE-137) –December 2009 and January 2010
The costs and risks associated with the proposed installation of emissions control equipment at the Edgewater Unit 5 coal-fired power plant.

Public Service Commission of Wisconsin (Docket No. 05-CE-138) –September and October 2009
The costs and risks associated with the proposed installation of emissions control equipment at the Columbia 1 and 2 coal-fired power plants.

Public Service Commission of Michigan (Docket No. U-15996) – July 2009
Comments on Consumer Energy’s Electric Generation Alternatives Analysis for the Balanced Energy Initiative including the Proposed Karn-Weadock Coal Plant.

Public Service Commission of Michigan (Docket No. U-16000) – July 2009
Comments on Wolverine Power Cooperative’s Electric Generation Alternatives Analysis for the Proposed Rogers City Coal Plant.

Georgia Public Service Commission (Docket No. 27800-U) – December 2008
The possible costs and risks of proceeding with the proposed Plant Vogtle Units 3 and 4 nuclear power plants.

Public Service Commission of Wisconsin (Docket No. 6680-CE-170) – August and September 2008
The risks associated with the proposed Nelson Dewey 3 baseload coal-fired power plant.

Indiana Utility Regulatory Commission (Cause No. 43114 IGCC 1) – July 2008
The estimated cost of Duke Energy Indiana’s Edwardsport Project.

Public Service Commission of Maryland (Case 9127) – July 2008
The estimated cost of the proposed Calvert Cliffs Unit 3 nuclear power plant.

Ohio Power Siting Board (Case No. 06-1358-EL-BGN) – December 2007
AMP-Ohio’s application for a Certificate of Environmental Compatibility and Public Need for a 960 MW pulverized coal generating facility.

U.S. Nuclear Regulatory Commission (Docket Nos. 50-247-LR, 50-286-LR) – November 2007 and February 2009
The available options for replacing the power generated at Indian Point Unit 2 and/or Unit 3.

West Virginia Public Service Commission (Case No. 06-0033-E-CN) – November 2007
Appalachian Power Company’s application for a Certificate of Public Convenience and Necessity for a 600 MW integrated gasification combined cycle generating facility.

Iowa Utility Board (Docket No. GCU-07-01) – October 2007
Whether Interstate Power & Light Company’s adequately considered the risks associated with building a new coal-fired power plant and whether that Company’s participation in the proposed Marshalltown plant is prudent.

Virginia State Corporation Commission (Case No. PUE-2007-00066) – November 2007
Whether Dominion Virginia Power’s adequately considered the risks associated with building the proposed Wise County coal-fired power plant and whether that Commission should grant a certificate of public convenience and necessity for the plant.

Louisiana Public Service Commission (Docket No. U-30192) – September 2007
The reasonableness of Entergy Louisiana’s proposal to repower the Little Gypsy Unit 3 generating facility as a coal-fired power plant.

Arkansas Public Service Commission (Docket No. 06-154-U) – July 2007
The probable economic impact of the Southwestern Electric Power Company’s proposed Hempstead coal-fired power plant project.

North Dakota Public Service Commission (Case Nos. PU-06-481 and 482) – May 2007 and April 2008
Whether the participation of Otter Tail Power Company and Montana-Dakota Utilities in the Big Stone II Generating Project is prudent.

Indiana Utility Regulatory Commission (Cause No. 43114) – May 2007
The appropriate carbon dioxide (“CO₂”) emissions prices that should be used to analyze the relative economic costs and benefits of Duke Energy Indiana and Vectren Energy Delivery of Indiana’s proposed Integrated Gasification Combined Cycle Facility and whether Duke and Vectren have appropriately reflected the capital cost of the proposed facility in their modeling analyses.

Public Service Commission of Wisconsin (Docket No. 6630-EI-113) – May and June 2007
Whether the proposed sale of the Point Beach Nuclear Plant to FPL Energy Point Beach, LLC, is in the interest of the ratepayers of Wisconsin Electric Power Company.

Florida Public Service Commission (Docket No. 070098-EI) – March 2007
Florida Light & Power Company’s need for and the economics of the proposed Glades Power Park.

Michigan Public Service Commission (Case No. 14992-U) – December 2006
The reasonableness of the proposed sale of the Palisades Nuclear Power Plant.

Minnesota Public Utilities Commission (Docket No. CN-05-619) – November 2006, December 2007, January 2008 and November 2008

Whether the co-owners of the proposed Big Stone II coal-fired generating plant have appropriately reflected the potential for the regulation of greenhouse gases in their analyses of the facility; and whether the proposed project is a lower cost alternative than renewable options, conservation and load management.

North Carolina Utilities Commission (Docket No. E-7, Sub 790) – September 2006 and January 2007

Duke's need for two new 800 MW coal-fired generating units and the relative economics of adding these facilities as compared to other available options including energy efficiency and renewable technologies.

New Mexico Public Regulatory Commission (Case No. 05-00275-UT) – September 2006

Report to the New Mexico Commission on whether the settlement value of the adjustment for moving the 141 MW Afton combustion turbine merchant plant into rate base is reasonable.

Arizona Corporation Commission (Docket No. E-01345A-0816) – August and September 2006

Whether APS's acquisition of the Sundance Generating Station was prudent and the reasonableness of the amounts that APS requested for fossil plant O&M.

U.S. District Court for the District of Montana (Billings Generation, Inc. vs. Electrical Controls, Inc, et al., CV-04-123-BLG-RFC) – August 2006

Quantification of plaintiff's business losses during an extended power plant outage and plaintiff's business earnings due to the shortening and delay of future plant outages.
[Confidential Expert Report]

Deposition in South Dakota Public Utility Commission Case No. EL05-022 – June 14, 2006

South Dakota Public Utility Commission (Case No. EL05-022) – May and June 2006

Whether the co-owners of the proposed Big Stone II coal-fired generating plant have appropriately reflected the potential for the regulation of greenhouse gases in their analyses of the alternatives to the proposed facility; the need and timing for new supply options in the co-owners' service territories; and whether there are alternatives to the proposed facility that are technically feasible and economically cost-effective.

Georgia Public Service Commission (Docket No. 22449-U) – May 2006

Georgia Power Company's request for an accounting order to record early site permitting and construction operating license costs for new nuclear power plants.

California Public Utilities Commission (Dockets Nos. A.05-11-008 and A.05-11-009) – April 2006

The estimated costs for decommissioning the Diablo Canyon, SONGS 2&3 and Palo Verde nuclear power plants and the annual contributions that are needed from ratepayers to assure that adequate funds will be available to decommission these plants at the projected ends of their service lives.

New Jersey Board of Public Utilities (Docket No. EM05020106) – November and December 2005 and March 2006

Joint Testimony with Bob Fagan and Bruce Biewald on the market power implications of the proposed merger between Exelon Corp. and Public Service Enterprise Group.

Virginia State Corporation Commission (Case No. PUE-2005-00018)– November 2005

The siting of a proposed 230 kV transmission line.

Iowa Utility Board (Docket No. SPU-05-15) – September and October 2005

The reasonableness of IPL's proposed sale of the Duane Arnold Energy Center nuclear plant.

New York State Department of Environmental Conservation (DEC #3-3346-00011/00002) – October 2005

The likely profits that Dynegy will earn from the sale of the energy and capacity of the Danskammer Generating Facility if the plant is converted from once-through to closed-cycle cooling with wet towers or to dry cooling.

Arkansas Public Service Commission (Docket 05-042-U) – July and August 2005

Arkansas Electric Cooperative Corporation's proposed purchase of the Wrightsville Power Facility.

Maine Public Utilities Commission (Docket No. 2005-17) – July 2005

Joint testimony with Peter Lanzalotta and Bob Fagan evaluating Eastern Maine Electric Cooperative's request for a CPCN to purchase 15 MW of transmission capacity from New Brunswick Power.

Federal Energy Regulatory Commission (Docket No. EC05-43-0000) – April and May 2005

Joint Affidavit and Supplemental Affidavit with Bruce Biewald on the market power aspects of the proposed merger of Exelon Corporation and Public Service Enterprise Group, Inc.

Maine Public Utilities Commission (Docket No. 2004-538 Phase II) – April 2005

Joint testimony with Peter Lanzalotta and Bob Fagan evaluating Maine Public Service Company's request for a CPCN to purchase 35 MW of transmission capacity from New Brunswick Power.

Maine Public Utilities Commission (Docket No. 2004-771) – March 2005

Analysis of Bangor Hydro-Electric's Petition for a Certificate of Public Convenience and Necessity to construct a 345 kV transmission line

**United States District Court for the Southern District of Ohio, Eastern Division
(Consolidated Civil Actions Nos. C2-99-1182 and C2-99-1250)**

Whether the public release of company documents more than three years old would cause competitive harm to the American Electric Power Company. [Confidential Expert Report]

New Jersey Board of Public Utilities (Docket No. EO03121014) – February 2005

Whether the Board of Public Utilities can halt further collections from Jersey Central Power & Light Company's ratepayers because there already are adequate funds in the company's decommissioning trusts for the Three Mile Island Unit No. 2 Nuclear Plant to allow for the decommissioning of that unit without endangered the public health and safety.

Maine Public Utilities Commission (Docket No. 2004-538) – January and March 2005

Analysis of Maine Public Service Company's request to construct a 138 kV transmission line from Limestone, Maine to the Canadian Border.

California Public Utilities Commission (Application No. AO4-02-026) – December 2004 and January 2005

Southern California Edison's proposed replacement of the steam generators at the San Onofre Unit 2 and Unit 3 nuclear power plants and whether the utility was imprudent for failing to initiate litigation against Combustion Engineering due to defects in the design of and materials used in those steam generators.

**United States District Court for the Southern District of Indiana, Indianapolis Division
(Civil Action No. IP99-1693) – December 2004**

Whether the public release of company documents more than three years old would cause competitive harm to the Cinergy Corporation. [Confidential Expert Report]

California Public Utilities Commission (Application No. AO4-01-009) – August 2004

Pacific Gas & Electric's proposed replacement of the steam generators at the Diablo Canyon nuclear power plant and whether the utility was imprudent for failing to initiate litigation against Westinghouse due to defects in the design of and materials used in those steam generators.

Public Service Commission of Wisconsin (Docket No. 6690-CE-187) – June, July and August 2004

Whether Wisconsin Public Service Corporation's request for approval to build a proposed 515 MW coal-burning generating facility should be granted.

Public Service Commission of Wisconsin (Docket No. 05-EI-136) – May and June 2004

Whether the proposed sale of the Kewaunee Nuclear Power Plant to a subsidiary of an out-of-state holding company is in the public interest.

Connecticut Siting Council (Docket No. 272) – May 2004

Whether there are technically viable alternatives to the proposed 345-kV transmission line between Middletown and Norwalk Connecticut and the length of the line that can be installed underground.

Arizona Corporation Commission (Docket No. E-01345A-03-0437 – February 2004

Whether Arizona Public Service Company should be allowed to acquire and include in rate base five generating units that were built by a deregulated affiliate.

State of Rhode Island Energy Facilities Siting Board (Docket No. SB-2003-1) – February 2004

Whether the cost of undergrounding a relocated 115kV transmission line would be eligible for regional cost socialization.

State of Maine Department of Environmental Protection (Docket No. A-82-75-0-X) – December 2003

The storage of irradiated nuclear fuel in an Independent Spent Fuel Storage Installation (ISFSI) and whether such an installation represents an air pollution control facility.

Rhode Island Public Utility Commission (Docket No. 3564) – December 2003 and January 2004

Whether Narragansett Electric Company should be required to install a relocated 115kV transmission line underground.

New York State Board on Electric Generation Siting and the Environment (Case No. 01-F-1276) – September, October and November 2003

The environmental, economic and system reliability benefits that can reasonably be expected from the proposed 1,100 MW TransGas Energy generating facility in Brooklyn, New York.

Wisconsin Public Service Commission (Case 6690-UR-115) - September and October 2003

The reasonableness of Wisconsin Public Service Corporation's decommissioning cost collections for the Kewaunee Nuclear Plant.

Oklahoma Corporation Commission (Cause No. 2003-121) – July 2003

Whether Empire District Electric Company properly reduced its capital costs to reflect the write-off of a portion of the cost of building a new electric generating facility.

Arkansas Public Service Commission (Docket 02-248-U) – May 2003

Entergy's proposed replacement of the steam generators and the reactor vessel head at the ANO Unit 1 Steam Generating Station.

Appellate Tax Board, State of Massachusetts (Docket No C258405-406) – May 2003

The physical nature of electricity and whether electricity is a tangible product or a service.

Maine Public Utilities Commission (Docket 2002-665-U) – April 2003

Analysis of Central Maine Power Company's proposed transmission line for Southern York County and recommendation of alternatives.

Massachusetts Legislature, Joint Committees on Government Regulations and Energy – March 2003

Whether PG&E can decide to permanently retire one or more of the generating units at its Salem Harbor Station if it is not granted an extension beyond October 2004 to reduce the emissions from the Station's three coal-fired units and one oil-fired unit.

New Jersey Board of Public Utilities (Docket No. ER02080614) – January 2003

The prudence of Rockland Electric Company's power purchases during the period August 1, 1999 through July 31, 2002.

New York State Board on Electric Generation Siting and the Environment (Case No. 00-F-1356) – September and October 2002 and January 2003

The need for and the environmental benefits from the proposed 300 MW Kings Park Energy generating facility.

Arizona Corporation Commission (Docket No. E-01345A-01-0822) – May 2002

The reasonableness of Arizona Public Service Company's proposed long-term power purchase agreement with an affiliated company.

New York State Board on Electric Generation Siting and the Environment (Case No. 99-F-1627) – March 2002

Repowering NYPA's existing Poletti Station in Queens, New York.

Connecticut Siting Council (Docket No. 217) – March 2002, November 2002, and January 2003

Whether the proposed 345-kV transmission line between Plumtree and Norwalk substations in Southwestern Connecticut is needed and will produce public benefits.

Vermont Public Service Board (Case No. 6545) – January 2002

Whether the proposed sale of the Vermont Yankee Nuclear Plant to Entergy is in the public interest of the State of Vermont and Vermont ratepayers.

Connecticut Department of Public Utility Control (Docket 99-09-12RE02) – December 2001

The reasonableness of adjustments that Connecticut Light and Power Company seeks to make to the proceeds that it received from the sale of Millstone Nuclear Power Station.

Connecticut Siting Council (Docket No. 208) – October 2001

Whether the proposed cross-sound cable between Connecticut and Long Island is needed and will produce public benefits for Connecticut consumers.

New Jersey Board of Public Utilities (Docket No. EM01050308) - September 2001

The market power implications of the proposed merger between Conectiv and Pepco.

Illinois Commerce Commission Docket No. 01-0423 – August, September, and October 2001

Commonwealth Edison Company's management of its distribution and transmission systems.

New York State Board on Electric Generation Siting and the Environment (Case No. 99-F-1627) - August and September 2001

The environmental benefits from the proposed 500 MW NYPA Astoria generating facility.

New York State Board on Electric Generation Siting and the Environment (Case No. 99-F-1191) - June 2001

The environmental benefits from the proposed 1,000 MW Astoria Energy generating facility.

New Jersey Board of Public Utilities (Docket No. EM00110870) - May 2001

The market power implications of the proposed merger between FirstEnergy and GPU Energy.

Connecticut Department of Public Utility Control (Docket 99-09-12RE01) - November 2000

The proposed sale of Millstone Nuclear Station to Dominion Nuclear, Inc.

Illinois Commerce Commission (Docket 00-0361) - August 2000

The impact of nuclear power plant life extensions on Commonwealth Edison Company's decommissioning costs and collections from ratepayers.

Vermont Public Service Board (Docket 6300) - April 2000

Whether the proposed sale of the Vermont Yankee nuclear plant to AmerGen Vermont is in the public interest.

Massachusetts Department of Telecommunications and Energy (Docket 99-107, Phase II) - April and June 2000

The causes of the May 18, 1999, main transformer fire at the Pilgrim generating station.

Connecticut Department of Public Utility Control (Docket 00-01-11) - March and April 2000

The impact of the proposed merger between Northeast Utilities and Con Edison, Inc. on the reliability of the electric service being provided to Connecticut ratepayers.

Connecticut Department of Public Utility Control (Docket 99-09-12) - January 2000

The reasonableness of Northeast Utilities plan for auctioning the Millstone Nuclear Station.

Connecticut Department of Public Utility Control (Docket 99-08-01) - November 1999

Generation, Transmission, and Distribution system reliability.

Illinois Commerce Commission (Docket 99-0115) - September 1999

Commonwealth Edison Company's decommissioning cost estimate for the Zion Nuclear Station.

Connecticut Department of Public Utility Control (Docket 99-03-36) - July 1999

Standard offer rates for Connecticut Light & Power Company.

Connecticut Department of Public Utility Control (Docket 99-03-35) - July 1999

Standard offer rates for United Illuminating Company.

Connecticut Department of Public Utility Control (Docket 99-02-05) - April 1999
Connecticut Light & Power Company stranded costs.

Connecticut Department of Public Utility Control (Docket 99-03-04) - April 1999
United Illuminating Company stranded costs.

Maryland Public Service Commission (Docket 8795) - December 1998
Future operating performance of Delmarva Power Company's nuclear units.

Maryland Public Service Commission (Dockets 8794/8804) - December 1998
Baltimore Gas and Electric Company's proposed replacement of the steam generators at the Calvert Cliffs Nuclear Power Plant. Future performance of nuclear units.

Indiana Utility Regulatory Commission (Docket 38702-FAC-40-S1) - November 1998
Whether the ongoing outages of the two units at the D.C. Cook Nuclear Plant were caused or extended by mismanagement.

Arkansas Public Service Commission (Docket 98-065-U) - October 1998
Entergy's proposed replacement of the steam generators at the ANO Unit 2 Steam Generating Station.

Massachusetts Department of Telecommunications and Energy (Docket 97-120) - October 1998
Western Massachusetts Electric Company's Transition Charge. Whether the extended 1996-1998 outages of the three units at the Millstone Nuclear Station were caused or extended by mismanagement.

Connecticut Department of Public Utility Control (Docket 98-01-02) - September 1998
Nuclear plant operations, operating and capital costs, and system reliability improvement costs.

Illinois Commerce Commission (Docket 97-0015) - May 1998
Whether any of the outages of Commonwealth Edison Company's twelve nuclear units during 1996 were caused or extended by mismanagement. Whether equipment problems, personnel performance weaknesses, and program deficiencies could have been avoided or addressed prior to plant outages. Outage-related fuel and replacement power costs.

Public Service Commission of West Virginia (Case 97-1329-E-CN) - March 1998
The need for a proposed 765 kV transmission line from Wyoming, West Virginia, to Cloverdate, Virginia.

Illinois Commerce Commission (Docket 97-0018) - March 1998
Whether any of the outages of the Clinton Power Station during 1996 were caused or extended by mismanagement.

Connecticut Department of Public Utility Control (Docket 97-05-12) - October 1997

The increased costs resulting from the ongoing outages of the three units at the Millstone Nuclear Station.

New Jersey Board of Public Utilities (Docket ER96030257) - August 1996

Replacement power costs during plant outages.

Illinois Commerce Commission (Docket 95-0119) - February 1996

Whether any of the outages of Commonwealth Edison Company's twelve nuclear units during 1994 were caused or extended by mismanagement. Whether equipment problems, personnel performance weaknesses, and program deficiencies could have been avoided or addressed prior to plant outages. Outage-related fuel and replacement power costs.

Public Utility Commission of Texas (Docket 13170) - December 1994

Whether any of the outages of the River Bend Nuclear Station during the period October 1, 1991, through December 31, 1993, were caused or extended by mismanagement.

Public Utility Commission of Texas (Docket 12820) - October 1994

Operations and maintenance expenses during outages of the South Texas Nuclear Generating Station.

Wisconsin Public Service Commission (Cases 6630-CE-197 and 6630-CE-209) - September and October 1994

The reasonableness of the projected cost and schedule for the replacement of the steam generators at the Point Beach Nuclear Power Plant. The potential impact of plant aging on future operating costs and performance.

Public Utility Commission of Texas (Docket 12700) - June 1994

Whether El Paso Electric Company's share of Palo Verde Unit 3 was needed to ensure adequate levels of system reliability. Whether the Company's investment in Unit 3 could be expected to generate cost savings for ratepayers within a reasonable number of years.

Arizona Corporation Commission (Docket U-1551-93-272) - May and June 1994

Southwest Gas Corporation's plastic and steel pipe repair and replacement programs.

Connecticut Department of Public Utility Control (Docket 92-04-15) - March 1994

Northeast Utilities management of the 1992/1993 replacement of the steam generators at Millstone Unit 2.

Connecticut Department of Public Utility Control (Docket 92-10-03) - August 1993

Whether the 1991 outage of Millstone Unit 3 as a result of the corrosion of safety-related plant piping systems was due to mismanagement.

Public Utility Commission of Texas (Docket 11735) - April and July 1993

Whether any of the outages of the Comanche Peak Unit 1 Nuclear Station during the period August 13, 1990, through June 30, 1992, were caused or extended by mismanagement.

Connecticut Department of Public Utility Control (Docket 91-12-07) - January 1993 and August 1995

Whether the November 6, 1991, pipe rupture at Millstone Unit 2 and the related outages of the Connecticut Yankee and Millstone units were caused or extended by mismanagement. The impact of environmental requirements on power plant design and operation.

Connecticut Department of Public Utility Control (Docket 92-06-05) - September 1992

United Illuminating Company off-system capacity sales. [Confidential Testimony]

Public Utility Commission of Texas (Docket 10894) - August 1992

Whether any of the outages of the River Bend Nuclear Station during the period October 1, 1988, through September 30, 1991, were caused or extended by mismanagement.

Connecticut Department of Public Utility Control (Docket 92-01-05) - August 1992

Whether the July 1991 outage of Millstone Unit 3 due to the fouling of important plant systems by blue mussels was the result of mismanagement.

California Public Utilities Commission (Docket 90-12-018) - November 1991, April 1992, June and July 1993

Whether any of the outages of the three units at the Palo Verde Nuclear Generating Station during 1989 and 1990 were caused or extended by mismanagement. Whether equipment problems, personnel performance weaknesses and program deficiencies could have been avoided or addressed prior to outages. Whether specific plant operating cost and capital expenditures were necessary and prudent.

Public Utility Commission of Texas (Docket 9945) - June 1991

Whether El Paso Electric Company's share of Palo Verde Unit 3 was needed to ensure adequate levels of system reliability. Whether the Company's investment in the unit could be expected to generate cost savings for ratepayers within a reasonable number of years. El Paso Electric Company's management of the planning and licensing of the Arizona Interconnection Project transmission line.

Arizona Corporation Commission (Docket U-1345-90-007) - December 1990 and April 1991

Arizona Public Service Company's management of the planning, construction and operation of the Palo Verde Nuclear Generating Station. The costs resulting from identified instances of mismanagement.

New Jersey Board of Public Utilities (Docket ER89110912J) - July and October 1990

The economic costs and benefits of the early retirement of the Oyster Creek Nuclear Plant. The potential impact of the unit's early retirement on system reliability. The cost and schedule for siting and constructing a replacement natural gas-fired generating plant.

Public Utility Commission of Texas (Docket 9300) - June and July 1990

Texas Utilities management of the design and construction of the Comanche Peak Nuclear Plant. Whether the Company was prudent in repurchasing minority owners' shares of Comanche Peak without examining the costs and benefits of the repurchase for its ratepayers.

Federal Energy Regulatory Commission (Docket EL-88-5-000) - November 1989

Boston Edison's corporate management of the Pilgrim Nuclear Station.

Connecticut Department of Public Utility Control (Docket 89-08-11) - November 1989

United Illuminating Company's off-system capacity sales.

Kansas State Corporation Commission (Case 164,211-U) - April 1989

Whether any of the 127 days of outages of the Wolf Creek generating plant during 1987 and 1988 were the result of mismanagement.

Public Utility Commission of Texas (Docket 8425) - March 1989

Whether Houston Lighting & Power Company's new Limestone Unit 2 generating facility was needed to provide adequate levels of system reliability. Whether the Company's investment in Limestone Unit 2 would provide a net economic benefit for ratepayers.

Illinois Commerce Commission (Dockets 83-0537 and 84-0555) - July 1985 and January 1989

Commonwealth Edison Company's management of quality assurance and quality control activities and the actions of project contractors during construction of the Byron Nuclear Station.

New Mexico Public Service Commission (Case 2146, Part II) - October 1988

The rate consequences of Public Service Company of New Mexico's ownership of Palo Verde Units 1 and 2.

United States District Court for the Eastern District of New York (Case 87-646-JBW) - October 1988

Whether the Long Island Lighting Company withheld important information from the New York State Public Service Commission, the New York State Board on Electric Generating Siting and the Environment, and the U.S. Nuclear Regulatory Commission.

Public Utility Commission of Texas (Docket 6668) - August 1988 and June 1989

Houston Light & Power Company's management of the design and construction of the South Texas Nuclear Project. The impact of safety-related and environmental requirements on plant construction costs and schedule.

Federal Energy Regulatory Commission (Docket ER88-202-000) - June 1988

Whether the turbine generator vibration problems that extended the 1987 outage of the Maine Yankee nuclear plant were caused by mismanagement.

Illinois Commerce Commission (Docket 87-0695) - April 1988

Illinois Power Company's planning for the Clinton Nuclear Station.

North Carolina Utilities Commission (Docket E-2, Sub 537) - February 1988

Carolina Power & Light Company's management of the design and construction of the Harris Nuclear Project. The Company's management of quality assurance and quality control activities. The impact of safety-related and environmental requirements on construction costs and schedule. The cost and schedule consequences of identified instances of mismanagement.

Ohio Public Utilities Commission (Case 87-689-EL-AIR) - October 1987

Whether any of Ohio Edison's share of the Perry Unit 2 generating facility was needed to ensure adequate levels of system reliability. Whether the Company's investment in Perry Unit 1 would produce a net economic benefit for ratepayers.

North Carolina Utilities Commission (Docket E-2, Sub 526) - May 1987

Fuel factor calculations.

New York State Public Service Commission (Case 29484) - May 1987

The planned startup and power ascension testing program for the Nine Mile Point Unit 2 generating facility.

Illinois Commerce Commission (Dockets 86-0043 and 86-0096) - April 1987

The reasonableness of certain terms in a proposed Power Supply Agreement.

Illinois Commerce Commission (Docket 86-0405) - March 1987

The in-service criteria to be used to determine when a new generating facility was capable of providing safe, adequate, reliable and efficient service.

Indiana Public Service Commission (Case 38045) - November 1986

Northern Indiana Public Service Company's planning for the Schaefer Unit 18 generating facility. Whether the capacity from Unit 18 was needed to ensure adequate system reliability. The rate consequences of excess capacity on the Company's system.

Superior Court in Rockingham County, New Hampshire (Case 86E328) - July 1986

The radiation effects of low power testing on the structures, equipment and components in a new nuclear power plant.

New York State Public Service Commission (Case 28124) - April 1986 and June 1987

The terms and provisions in a utility's contract with an equipment supplier. The prudence of the utility's planning for a new generating facility. Expenditures on a canceled generating facility.

Arizona Corporation Commission (Docket U-1345-85) - February 1986

The construction schedule for Palo Verde Unit No. 1. Regulatory and technical factors that would likely affect future plant operating costs.

New York State Public Service Commission (Case 29124) – December 1985 and January 1986

Niagara Mohawk Power Corporation's management of construction of the Nine Mile Point Unit No. 2 nuclear power plant.

New York State Public Service Commission (Case 28252) - October 1985

A performance standard for the Shoreham nuclear power plant.

New York State Public Service Commission (Case 29069) - August 1985

A performance standard for the Nine Mile Point Unit No. 2 nuclear power plant.

Missouri Public Service Commission (Cases ER-85-128 and EO-85-185) - July 1985

The impact of safety-related regulatory requirements and plant aging on power plant operating costs and performance. Regulatory factors and plant-specific design features that will likely affect the future operating costs and performance of the Wolf Creek Nuclear Plant.

Massachusetts Department of Public Utilities (Case 84-152) - January 1985

The impact of safety-related regulatory requirements and plant aging on power plant operating costs and performance. Regulatory factors and plant-specific design features that will likely affect the future operating costs and performance of the Seabrook Nuclear Plant.

Maine Public Utilities Commission (Docket 84-113) - September 1984

The impact of safety-related regulatory requirements and plant aging on power plant operating costs and performance. Regulatory factors and plant-specific design features that will likely affect the future operating costs and performance of the Seabrook Nuclear Plant.

South Carolina Public Service Commission (Case 84-122-E) - August 1984

The repair and replacement strategy adopted by Carolina Power & Light Company in response to pipe cracking at the Brunswick Nuclear Station. Quantification of replacement power costs attributable to identified instances of mismanagement.

Vermont Public Service Board (Case 4865) - May 1984

The repair and replacement strategy adopted by management in response to pipe cracking at the Vermont Yankee nuclear plant.

New York State Public Service Commission (Case 28347) - January 1984

The information that was available to Niagara Mohawk Power Corporation prior to 1982 concerning the potential for cracking in safety-related piping systems at the Nine Mile Point Unit No. 1 nuclear plant.

New York State Public Service Commission (Case 28166) - January 1983 and February 1984

Whether the January 25, 1982, steam generator tube rupture at the Ginna Nuclear Plant was caused by mismanagement.

U.S. Nuclear Regulatory Commission (Case 50-247SP) - May 1983

The economic costs and benefits of the early retirement of the Indian Point nuclear plants.

REPORTS, ARTICLES, AND PRESENTATIONS

How the High Cost of Power from Prairie State is Affecting Bowling Green Municipal Utilities' Customers. July, 2014.

Overpriced Power: Why Batavia is Paying So Much for Electricity. Updated March 2014.

Huntley Generating Station: Coal Plant's Weak Financial Outlook Calls for Corporate & Community Leadership. January 2014. Co-authored with Cathy Kunkel and Tom Sanzillo.

When, Not If: Bridgeport's Future and the Closing of PSEG's Coal Plant.

Changing Course: A Clean Energy Investment Plan for Dominion Virginia Power. Co-authored with Jeff Loiter and Anna Sommer. August 2013.

Mountain State Maneuver: AEP and FirstEnergy try to stick ratepayers with Risky Coal Plants. September 2013. Co-authored with Cathy Kunkel.

Public Utility Regulation without the Public: The Alabama Public Service Commission and Alabama Power. Co-authored with Anna Sommer. March 2013

A Texas Electric Capacity Market: The Wrong Tool for a Real Problem. Co-authored with Anna Sommer. February 2013.

Dark Days Ahead: Financial Factors Cloud Future Profitability at Dominion's Brayton Point Power Plant. Co-authored with Tom Sanzillo. February 2013.

Report on the Kemper IGCC Project: Cost and Schedule Risks. November 2012.

The Prairie State Coal Plant: the Reality vs. the Promise. August 2012.

The Impact of EPA's Proposed 316(b) Existing Facility Rule on Electric System Reliability, July 2011.

The Economics of Existing Coal-Fired Power Plants, Presentation at EUCI Conference in St. Louis, MO, November 2010.

Presentation to the Indiana Utility Regulatory Commission on the Need for the Proposed Duke Energy Indiana Edwardsport IGCC Project, November 2010.

Reply Comments on Portland General Electric Company's 2009 Integrated Resource Plan, September 2010.

Presentation to the Oregon Public Utility Commission on Portland General Electric Company's 2009 Integrated Resource Plan, May 2010.

Comments on Portland General Electric Company's 2009 Integrated Resource Plan, May 2010.

Comments on the Facility Cost Report for Tenaska's Proposed Taylorville IGCC Plant, April 2010.

Comments on City of Holland Board of Public Work's 2010 Power Supply Plan, April 2010.

Phasing Out Federal Subsidies for Coal, April 2010.

Comments on Draft Portland General Electric Company 2009 Integrated Resource Plan, October 2009.

The Economic Impact of Restricting Mountaintop/Valley Fill Coal Mining in Central Appalachia, August 2009.

Energy Future: A Green Energy Alternative for Michigan, report, July 2009.

Energy Future: A Green Energy Alternative for Michigan, presentation, July 2009.

Preliminary Assessment of East Kentucky Power Cooperative's 2009 Resource Plan, June 2009.

The Financial Risks to Old Dominion Electric Cooperative's Consumer-Members of Building and Operating the Proposed Cypress Creek Power Station, April 2009.

An Assessment of Santee Cooper's 2008 Resource Planning, April 2009.

Nuclear Loan Guarantees: Another Taxpayer Bailout Ahead, Report for the Union of Concerned Scientists, March 2009.

New Hampshire Senate Bill 152: Merrimack Station Scrubber, March 2009.

The Risks of Building and Operating Plant Washington, Presentation to the Sustainable Atlanta Roundtable, December 2008.

The Risks of Building and Operating Plant Washington, Report and Presentation to EMC Board Members, December 2008.

Don't Get Burned, the Risks of Investing in New Coal-Fired Power Plants, Presentation at the University of California at Berkeley Energy and Resources Group Colloquium, October 2008.

Don't Get Burned, the Risks of Investing in New Coal-Fired Power Plants, Presentation at Georgia Tech University, October 2008.

Nuclear Power Plant Construction Costs, Synapse Energy Economics, July 2008.

Coal-Fired Power Plant Construction Costs, Synapse Energy Economics, July 2008.

Synapse 2008 CO₂ Price Forecasts, Synapse Energy Economics, July 2008.

Don't Get Burned, the Risks of Investing in New Coal-Fired Power Plants, Presentation at the NARUC ERE Committee, NARUC Summer Meetings, July 2008.

Are There Nukes In Our Future, Presentation at the NASUCA Summer Meetings, June 2008.

Risky Appropriations: Gambling US Energy Policy on the Global Nuclear Energy Partnership, Report for Friends of the Earth, the Institute for Policy Studies, the Government Accountability Project, and the Southern Alliance for Clean Energy, March 2008.

Don't Get Burned, the Risks of Investing in New Coal-Fired Power Plants, Presentation to the New York Society of Securities Analysts, February 26, 2008.

Don't Get Burned, Report for the Interfaith Center for Corporate Responsibility, February 2008.

The Risks of Participating in the AMPGS Coal Plant, Report for NRDC, February 2008.

Kansas is Not Alone, the New Climate for Coal, Presentation to members of the Kansas State Legislature, January 22, 2008.

The Risks of Building New Nuclear Power Plants, Presentation to the Utah State Legislature Public Utilities and Technology Committee, September 19, 2007.

The Risks of Building New Nuclear Power Plants, Presentation to Moody's and Standard & Poor's rating agencies, May 17, 2007.

The Risks of Building New Nuclear Power Plants, U.S. Senate and House of Representative Briefings, April 20, 2007.

Carbon Dioxide Emissions Costs and Electricity Resource Planning, New Mexico Public Regulation Commission, Case 06-00448-UT, March 28, 2007, with Anna Sommer.

The Risks of Building New Nuclear Power Plants, Presentation to the New York Society of Securities Analysts, June 8, 2006.

Conservation and Renewable Energy Should be the Cornerstone for Meeting Future Natural Gas Needs. Presentation to the Global LNG Summit, June 1, 2004. Presentation given by Cliff Chen.

Comments on natural gas utilities' Phase I Proposals for pre-approved full cost recovery of contracts with liquid natural gas (LNG) suppliers and the costs of interconnecting their systems with LNG facilities. Comments in California Public Utilities Commission Rulemaking 04-01-025. March 23, 2004.

The 2003 Blackout: Solutions that Won't Cost a Fortune, The Electricity Journal, November 2003, with David White, Amy Roschelle, Paul Peterson, Bruce Biewald, and William Steinhurst.

The Impact of Converting the Cooling Systems at Indian Point Units 2 and 3 on Electric System Reliability. An Analysis for Riverkeeper, Inc. November 3, 2003.

The Impact of Converting Indian Point Units 2 and 3 to Closed-Cycle Cooling Systems with Cooling Towers on Energy's Likely Future Earnings. An Analysis for Riverkeeper, Inc. November 3, 2003.

Entergy's Lost Revenues during Outages of Indian Point Units 2 and 3 to Convert to Closed-Cycle Cooling Systems. An Analysis for Riverkeeper, Inc. November 3, 2003.

Power Plant Repowering as a Strategy for Reducing Water Consumption at Existing Electric Generating Facilities. A presentation at the May 2003 Symposium on Cooling Water Intake Technologies to Protect Aquatic Organisms. May 6, 2003.

Financial Insecurity: The Increasing Use of Limited Liability Companies and Multi-tiered Holding Companies to Own Electric Generating Plants. A presentation at the 2002 NASUCA Annual Meeting. November 12, 2002.

Determining the Need for Proposed Overhead Transmission Facilities. A Presentation by David Schlissel and Paul Peterson to the Task Force and Working Group for Connecticut Public Act 02-95. October 17, 2002.

Future PG&E Net Revenues From The Sale of Electricity Generated at its Brayton Point Station. An Analysis for the Attorney General of the State of Rhode Island. October 2, 2002.

PG&E's Net Revenues From The Sale of Electricity Generated at its Brayton Point Station During the Years 1999-2002. An Analysis for the Attorney General of the State of Rhode Island. October 2, 2002.

Financial Insecurity: The Increasing Use of Limited Liability Companies and Multi-Tiered Holding Companies to Own Nuclear Power Plants. A Synapse report for the STAR Foundation and Riverkeeper, Inc., by David Schlissel, Paul Peterson, and Bruce Biewald, August 7, 2002.

Comments on EPA's Proposed Clean Water Act Section 316(b) for Cooling Water Intake Structures at Phase II Existing Facilities, on behalf of Riverkeeper, Inc., by David Schlissel and Geoffrey Keith, August 2002.

The Impact of Retiring the Indian Point Nuclear Power Station on Electric System Reliability. A Synapse Report for Riverkeeper, Inc. and Pace Law School Energy Project. May 7, 2002.

Preliminary Assessment of the Need for the Proposed Plumtree-Norwalk 345-kV Transmission Line. A Synapse Report for the Towns of Bethel, Redding, Weston, and Wilton Connecticut. October 15, 2001.

ISO New England's Generating Unit Availability Study: Where's the Beef? A Presentation at the June 29, 2001 Restructuring Roundtable.

Clean Air and Reliable Power: Connecticut Legislative House Bill HB6365 will not Jeopardize Electric System Reliability. A Synapse Report for the Clean Air Task Force. May 2001.

Room to Breathe: Why the Massachusetts Department of Environmental Protection's Proposed Air Regulations are Compatible with Reliability. A Synapse Report for MASSPIRG and the Clean Water Fund. March 2001.

Generator Outage Increases: A Preliminary Analysis of Outage Trends in the New England Electricity Market, a Synapse Report for the Union of Concerned Scientists, January 7, 2001.

Cost, Grid Reliability Concerns on the Rise Amid Restructuring, with Charlie Harak, Boston Business Journal, August 18-24, 2000.

Report on Indian Point 2 Steam Generator Issues, Schlissel Technical Consulting, Inc., March 10, 2000.

Preliminary Expert Report in Case 96-016613, Cities of Wharton, Pasadena, et al v. Houston Lighting & Power Company, October 28, 1999.

Comments of Schlissel Technical Consulting, Inc. on the Nuclear Regulatory Commission's Draft Policy Statement on Electric Industry Economic Deregulation, February 1997.

Report to the Municipal Electric Utility Association of New York State on the Cost of Decommissioning the Fitzpatrick Nuclear Plant, August 1996.

Report to the Staff of the Arizona Corporation Commission on U.S. West Corporation's telephone cable repair and replacement programs, May, 1996.

Nuclear Power in the Competitive Environment, NRRI Quarterly Bulletin, Vol. 16, No. 3, Fall 1995.

Nuclear Power in the Competitive Environment, presentation at the 18th National Conference of Regulatory Attorneys, Scottsdale, Arizona, May 17, 1995.

The Potential Safety Consequences of Steam Generator Tube Cracking at the Byron and Braidwood Nuclear Stations, a report for the Environmental Law and Policy Center of the Midwest, 1995.

Report to the Public Policy Group Concerning Future Trojan Nuclear Plant Operating Performance and Costs, July 15, 1992.

Report to the New York State Consumer Protection Board on the Costs of the 1991 Refueling Outage of Indian Point 2, December 1991.

Preliminary Report on Excess Capacity Issues to the Public Utility Regulation Board of the City of El Paso, Texas, April 1991.

Nuclear Power Plant Construction Costs, presentation at the November, 1987, Conference of the National Association of State Utility Consumer Advocates.

Comments on the Final Report of the National Electric Reliability Study, a report for the New York State Consumer Protection Board, February 27, 1981.

OTHER SIGNIFICANT INVESTIGATIONS AND LITIGATION SUPPORT WORK

Reviewed the salt deposition mitigation strategy proposed for Reliant Energy's repowering of its Astoria Generating Station. October 2002 through February 2003.

Assisted the Connecticut Office of Consumer Counsel in reviewing the auction of Connecticut Light & Power Company's power purchase agreements. August and September, 2000.

Assisted the New Jersey Division of the Ratepayer Advocate in evaluating the reasonableness of Atlantic City Electric Company's proposed sale of its fossil generating facilities. June and July, 2000.

Investigated whether the 1996-1998 outages of the three Millstone Nuclear Units were caused or extended by mismanagement. 1997 and 1998. Clients were the Connecticut Office of Consumer Counsel and the Office of the Attorney General of the Commonwealth of Massachusetts.

Investigated whether the 1995-1997 outages of the two units at the Salem Nuclear Station were caused or extended by mismanagement. 1996-1997. Client was the New Jersey Division of the Ratepayer Advocate.

Assisted the Associated Industries of Massachusetts in quantifying the stranded costs associated with utility generating plants in the New England states. May through July, 1996

Investigated whether the December 25, 1993, turbine generator failure and fire at the Fermi 2 generating plant was caused by Detroit Edison Company's mismanagement of fabrication, operation or maintenance. 1995. Client was the Attorney General of the State of Michigan.

Investigated whether the outages of the two units at the South Texas Nuclear Generating Station during the years 1990 through 1994 were caused or extended by mismanagement. Client was the Texas Office of Public Utility Counsel.

Assisted the City Public Service Board of San Antonio, Texas in litigation over Houston Lighting & Power Company's management of operations of the South Texas Nuclear Generating Station.

Investigated whether outages of the Millstone nuclear units during the years 1991 through 1994 were caused or extended by mismanagement. Client was the Office of the Attorney General of the Commonwealth of Massachusetts.

Evaluated the 1994 Decommissioning Cost Estimate for the Maine Yankee Nuclear Plant. Client was the Public Advocate of the State of Maine.

Evaluated the 1994 Decommissioning Cost Estimate for the Seabrook Nuclear Plant. Clients were investment firms that were evaluating whether to purchase the Great Bay Power Company, one of Seabrook's minority owners.

Investigated whether a proposed natural-gas fired generating facility was need to ensure adequate levels of system reliability. Examined the potential impacts of environmental regulations on the unit's expected construction cost and schedule. 1992. Client was the New Jersey Rate Counsel.

Investigated whether Public Service Company of New Mexico management had adequately disclosed to potential investors the risk that it would be unable to market its excess generating capacity. Clients were individual shareholders of Public Service Company of New Mexico.

Investigated whether the Seabrook Nuclear Plant was prudently designed and constructed. 1989. Clients were the Connecticut Office of Consumer Counsel and the Attorney General of the State of Connecticut.

Investigated whether Carolina Power & Light Company had prudently managed the design and construction of the Harris nuclear plant. 1988-1989. Clients were the North Carolina Electric Municipal Power Agency and the City of Fayetteville, North Carolina.

Investigated whether the Grand Gulf nuclear plant had been prudently designed and constructed. 1988. Client was the Arkansas Public Service Commission.

Reviewed the financial incentive program proposed by the New York State Public Service Commission to improve nuclear power plant safety. 1987. Client was the New York State Consumer Protection Board.

Reviewed the construction cost and schedule of the Hope Creek Nuclear Generating Station. 1986-1987. Client was the New Jersey Rate Counsel.

Reviewed the operating performance of the Fort St. Vrain Nuclear Plant. 1985. Client was the Colorado Office of Consumer Counsel.

WORK HISTORY

- 2012- Director of Resource Planning Analysis, Institute for Energy Economics and Financial Analysis
- 2010 - President, Schlissel Technical Consulting, Inc.
- 2000 - 2009: Senior Consultant, Synapse Energy Economics, Inc.
- 1994 - 2000: President, Schlissel Technical Consulting, Inc.
- 1983 - 1994: Director, Schlissel Engineering Associates
- 1979 - 1983: Private Legal and Consulting Practice
- 1975 - 1979: Attorney, New York State Consumer Protection Board
- 1973 - 1975: Staff Attorney, Georgia Power Project

EDUCATION

- 1983-1985: Massachusetts Institute of Technology
Special Graduate Student in Nuclear Engineering and Project Management,
- 1973: Stanford Law School,
Juris Doctor
- 1969: Stanford University
Master of Science in Astronautical Engineering,
- 1968: Massachusetts Institute of Technology
Bachelor of Science in Astronautical Engineering,

PROFESSIONAL MEMBERSHIPS

- New York State Bar since 1981

CERTIFICATE OF SERVICE

I hereby certify that on this 8th day of May, 2015, I served Pre-Filed Direct Testimony of David A. Schlissel by first-class mail, postage prepaid, to the following:

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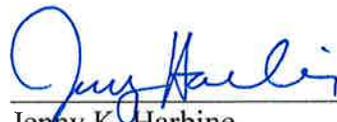
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